

October 4, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jagdish Narayan

Application No.: 10/608,780

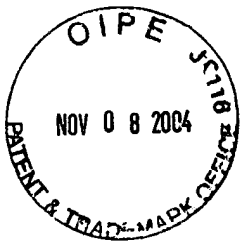
Group: 2818

Filed: June 27, 2003

Examiner: Nhu, David

Confirmation No.: 8117

For: DOMAIN EPITAXY FOR THIN FILM GROWTH



| CERTIFICATE OF MAILING OR TRANSMISSION | |
|---|-----------------------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on: | |
| <u>11-4-04</u> Date | <u>Jeanine Busby</u> Signature |
| Jeanine Busby Typed or printed name of person signing certificate | |

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for filing in the above-identified application.

[] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.

[x] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

| (COL. 1) | | (COL. 2) | | (COL. 3) |
|--|---|----------|---------------------------------------|------------------|
| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA |
| TOTAL | 15 | MINUS | * 20 | 0 |
| INDEP | 1 | MINUS | ** 3 | 0 |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | |

* not fewer than 20
** not fewer than 3

| SMALL ENTITY | | |
|--------------|-------|---------------|
| | RATE | ADDIT. FEE |
| X | \$ 9 | \$ 0 |
| X | \$44 | \$ 0 |
| + | \$150 | \$ |

TOTAL = \$ 0

| OTHER THAN SMALL ENTITY | | |
|----------------------------|-------|---------------|
| | RATE | ADDIT. FEE |
| X | \$18 | \$ |
| X | \$88 | \$ |
| + | \$300 | \$ |

TOTAL = \$ 0

Please charge Deposit Account No. 08-0380 for the following fees:

| | | | |
|--------------------------|--|----|----------|
| <input type="checkbox"/> | Petition for [] month Extension of Time | \$ | _____ |
| <input type="checkbox"/> | Amendment Fee | \$ | _____ |
| <input type="checkbox"/> | Other Fees: | | |
| | _____ | \$ | _____ |
| | _____ | \$ | _____ |
| | TOTAL: | \$ | <u>0</u> |

A check is enclosed in payment of the following fees:

| | | | |
|-------------------------------------|--|----|------------|
| <input checked="" type="checkbox"/> | Petition for three (3) month Extension of Time | \$ | <u>490</u> |
| <input type="checkbox"/> | Amendment Fee | \$ | _____ |
| <input checked="" type="checkbox"/> | Other Fees: | | |
| | Supplemental Information Disclosure Statement | \$ | <u>180</u> |
| | _____ | \$ | _____ |
| | TOTAL: | \$ | <u>670</u> |

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By

N. Scott Pierce

Registration No.: 34,900

Telephone (978) 341-0036

Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Dated:

11/4/04

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a))-SMALL BUSINESS CONCERN

DOCKET NUMBER: 0717.2033-002

Applicant or Patentee: Jedidiah Narayan
Application or Patent No. 10/608,780
Filed or Issued: June 27, 2003
Title: DOMAIN EPITAXY FOR THIN FILM GROWTH

I hereby state that I am

- ☐ the owner of the small business concern identified below;
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Kopin Corporation
ADDRESS OF SMALL BUSINESS CONCERN 200 John Hancock Road
Taunton, Massachusetts 02780

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416 or you may call 202-205-6618.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☐ the application identified above.
☒ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each person, concern or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1), or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Hong K. Choi, Ph.D.
TITLE OF PERSON IF OTHER THAN OWNER Chief Technology Officer
ADDRESS OF PERSON SIGNING 200 John Hancock Road, Taunton, Massachusetts 02780
SIGNATURE H. K. Choi DATE 10/6/04